

Toward Privacy in Public Databases

Shuchi Chawla (CMU)

Cynthia Dwork (Microsoft Research)

Frank McSherry (Microsoft Research)

Adam Smith (Weizmann Institute of Science)

Hoeteck Wee (UC Berkeley)

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<http://theory.csail.mit.edu/~asmith>

Database Privacy

- ◆ **Census problem**
 - Individuals provide information
 - Census office publishes sanitized records
 - Allow extraction of global statistics
 - Protect individuals' privacy
- ◆ **Inherent Privacy vs Utility Tradeoff**
 - Extremes: Publish nothing, publish everything
- ◆ **Goals:**
 - Find a middle path: **both** privacy and utility
 - **Hope: change the way privacy is approached**
 - Framework for meaningful comparison of techniques
 - Encourage debate of what "privacy" means

Database Privacy



◆ Utility:

- Users can extract "global statistics"
 - Means, variances, approximate clusters, ...
- Proof by algorithm + analysis

◆ Privacy:

- What is required?
- How to prove it?

Outline

- ◆ What do we mean by "Privacy"?
 - Geometric abstraction
 - Privacy breach \approx "isolation"
- ◆ Example Sanitizations
- ◆ Conclusions and Future Work

Current solutions

- ◆ Extensively studied in statistics, data mining
 - **Non-interactive**: Suppress/aggregate cells, perturb data, synthesize new data, ...
 - **Interactive**: monitor queries, perturb outputs
 - ◆ Focus on utility
 - ◆ Privacy claims unsatisfying *
 - **Ad-hoc** or **unclear** definitions
 - **Unexpected leaks**, e.g.
 - Erasure / refusal to answer can reveal info
 - Noise can cancel in interactive queries
 - **Debate / criticism is difficult**
- * Recent exceptions: DN03, DN04, EGS03

Cryptographer's Approach

First:

- ◆ Define "privacy" in this context
 - "Privacy" is an overloaded term
 - How can we get a handle on it?

Second:

- ◆ Understand what kinds of information do - and don't - breach privacy

What do WE mean by privacy?

- ◆ Privacy is an overloaded term
 - What does it mean for databases?
- ◆ Intuition:
privacy = blending into the crowd
- ◆ [Ruth Gavison] "Protection from being brought to the attention of others"
 - Inherently valuable
 - Attention invites further privacy loss
 - Also "chilling effect" on rights and speech
- ◆ Appealing definition; can be converted into a precise mathematical statement

A Geometric Abstraction

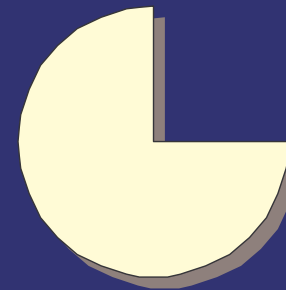
- ◆ Database = vectors in metric space (e.g \mathbb{R}^d)
 - Points are unlabeled
You are your collection of attributes
- ◆ Distance is everything
 - Points are similar if and only if they are close
- ◆ Highly abstracted version of problem
 - If we can't understand this,
we can't understand real life
 - Assumption implicit in current literature
- ◆ For this talk: \mathbb{R}^d , with L_2 distance and large d

A Geometric Abstraction



n points in \mathbb{R}^d

Charts, tables, etc
released by census



(non-interactive)

The Adversary as Isolator - Intuition



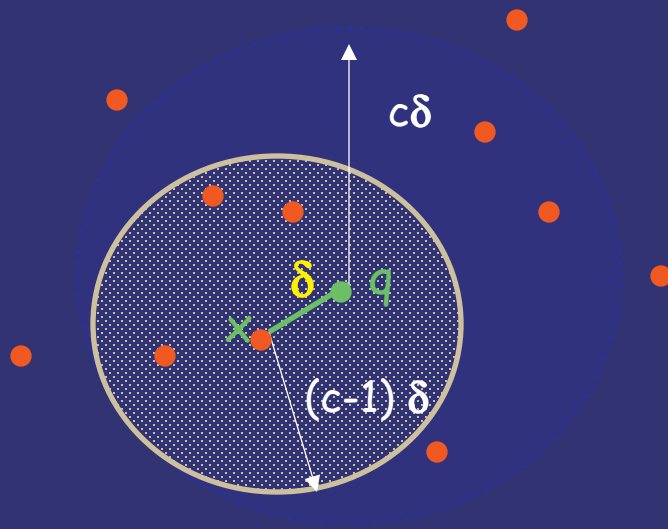
- ◆ Adversary outputs a point $q \in \mathbb{R}^d$
- ◆ q "isolates" an original DB point x , if it is much closer to x than to x 's near neighbors
- ◆ q fails to isolate x if q looks as much like x 's neighbors as looks like x itself
- ◆ Tightly clustered points have smaller radius of isolation

Original DB



Isolation - the definition

- ◆ $I(\text{Sanitized DB}, \text{aux}) = q$
- ◆ x is **isolated** if $B(q, c\delta)$ contains fewer than T other points from Original DB
- ◆ **T -radius of x** - distance to its T^{th} -nearest neighbor
- ◆ x is "safe" if $\delta_x > (T\text{-radius of } x) / (c-1)$
 $B(q, c\delta_x)$ contains x 's entire T -neighborhood



c - privacy parameter; eg, 4

Requirements for the sanitizer

- ◆ **Intuition:** side info may allow isolating points apriori
 - Emulate definition of **semantic security of encryption**
- ◆ Sanitization **breaches** privacy if giving the adversary access to the SDB considerably increases its probability of success
- ◆ Forgiving def: "Considerably" $\approx 1/n^{1/2}$, or 1/1000
- ◆ Roughly: For a particular distrib. \mathcal{D} on **DB** and **aux**:
 $\forall I, \exists$ "simulator" I' , w. high pr. over \mathcal{D} ,
$$\Pr[I(\text{San.DB}, \text{aux}) \text{ isolates pt.}] - \Pr[I'(\text{aux}) \text{ isolates pt.}] < \epsilon$$
- ◆ Framework for measuring sanitization methods

What About Utility?

- ◆ “Pointwise” approach: we prove that specific functionalities can be learned
 - averages, medians, clusters, singular value decomposition,...
- ◆ Goal: large class of interesting tests for which there are good approximation procedures using sanitized data
 - Work in progress
 - Ideal: everything learnable with “noise” is learnable privately



For now...

Outline

- ✓ What do we mean by "Privacy"?

◆ Example Sanitizations (non-interactive)

- Recursive *Histogram* - *privacy*
- Density-based *Perturbation* - *utility*
- Hybrid: *Cross-training*

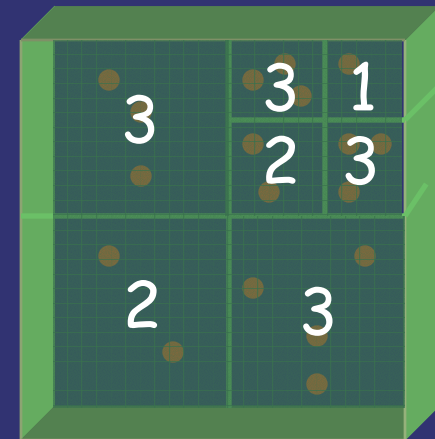
◆ Conclusions and Future Work



Use local density

Histogram Sanitization

- ◆ $U = [-1, 1]^d$
 - d-dim cube, side = 2
- ◆ Cut into 2^d subcubes
 - split along each axis
 - subcubes have side = 1
- ◆ For each subcube
 - if number of RDB points $> 2T$
 - then recurse
- ◆ **Output: list of cells and counts**
 - E.g. "The subdivisions were
 - Cell 1 had 3 points, Cell 2 had 2 points, ..."

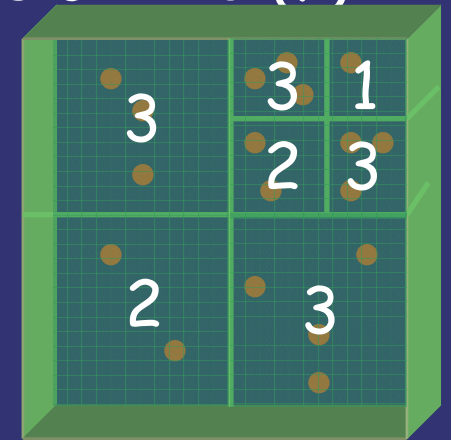


Histogram Sanitization

Theorem: Recursive histograms are safe
if database points uniform in $[-1,1]^d$

- $\Pr[I(\text{SDB}) \text{ } c\text{-isolates}] \leq 2^{-\Omega(d)}$, where $c \approx 10$ (?)

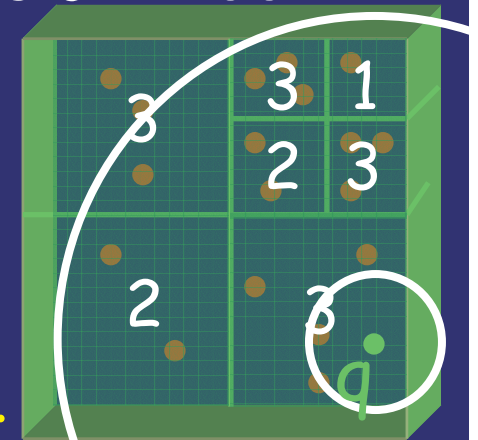
- ◆ Strong assumptions!
 - Specific distribution
 - No auxiliary information
- ◆ Assumptions can be relaxed...



Histogram Sanitization

Theorem: Recursive histograms are safe if database points uniform in $[-1,1]^d$

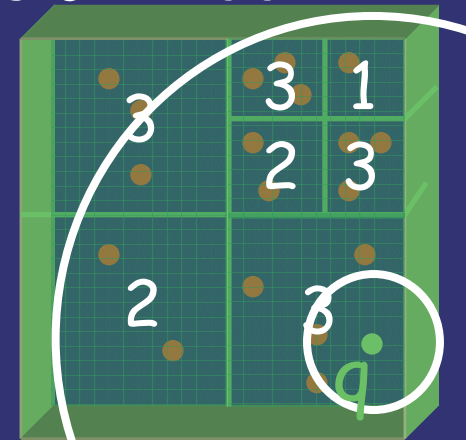
- $\Pr[I(\text{SDB}) \text{ c-isolates}] \leq 2^{-\Omega(d)}$, where $c \approx 100^*$
- ◆ If $n = 2^{o(d)}$, proof is simple:
 - Let adversary pick q ,
 - $s =$ side-length of sub-cube C of q
 - Dist. to nearest point = $O(s d^{\frac{1}{2}})$ w.h.p.
 - Increasing the distance by c captures C and most of its parent cell
 - Parent of C contains $2T$ points
 $\Rightarrow q$ doesn't c-isolate anyone



Histogram Sanitization

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- $\Pr[I(\text{SDB}) \text{ } c\text{-isolates}] \leq 2^{-\Omega(d)}$, where $c \approx 100^*$
- ◆ If $n = 2^{o(d)}$, proof is simple
- ◆ If $n = 2^{\Omega(d)}$, proof is harder...



For Very Large Values of n

- ◆ Wlog can switch to ball adversaries: (q,r)

I wins if $B(q,r)$ contains at least one RDB point and $B(q,cr)$ contains fewer than T RDB points

- ◆ Define a probability density $f(x)$ that captures adversary's view of the RDB

Ball Lemma: To win with probability ε , I needs:

$$\Pr_f[B(q,r)] \geq \varepsilon/n$$

$$\Pr_f[B(q,cr)] \leq 2T/n$$

$$\Pr_f[B(q,r)] / \Pr_f[B(q,cr)] \geq \varepsilon/2T$$

- ◆ Bound ε by bounding ratio by $2^{-\Omega(d)}$

Bounding $\Pr_f[B(q,r)]/\Pr_f[B(q,cr)]$

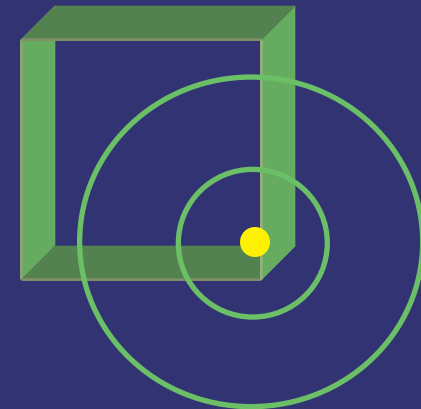
Inflation Lemma: If B = ball with small* radius,
 C = cube $[-1,1]^d$,

$$\frac{\text{Vol}(B \cap C)}{\text{Vol}(2B \cap C)} \leq 2^{-\Omega(d)}$$

Proof (outline):

- ◆ Approximate uniform over ball by Gaussian
- ◆ Crunch numbers

(Nicer proof?)



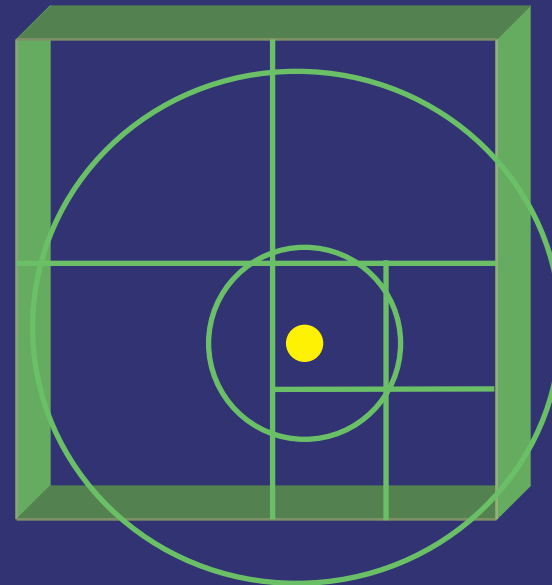
* Small = $\beta d^{\frac{1}{2}}$ side-length(C), where $\beta \approx 1/60$

Bounding $\Pr_f[B(q,r)]/\Pr_f[B(q,cr)]$

- ◆ $f(x) = (n_c/n) (1 / \text{Vol}(C))$
 - fraction of RDB points landing in cell C , spread uniformly within C
- ◆ If r is small, **Inflation Lemma** says that big ball captures $\exp(d)$ more mass **in each subcube it touches** than small ball

Thus,

- Total mass increases exponentially
 \Rightarrow Ratio is small



yields
 $\varepsilon < 2^{-\Omega(d)}$

Bounding $\Pr_f[B(q,r)]/\Pr_f[B(q,cr)]$

- ◆ $f(x) = (n_c/n) (1 / \text{Vol}(C))$
 - fraction of RDB points landing in cell C , spread uniformly within C
- ◆ If r is small, **Inflation Lemma** says that bigger ball captures $\exp(d)$ more mass **in each subcube** than smaller ball
- ◆ If r is large, the small ball captures nothing or the bigger ball captures parent cube
- ◆ Either way isolation cannot occur ($c \approx 100?$ $10?$)

Relaxing Assumptions

- ◆ Extends to many interesting cases
 - non-uniform but bounded-ratio density fns
 - isolator knows constant fraction of attribute vals
 - isolator knows lots of RDB points
 - isolation in few attributes
(very weak bounds)
- ◆ Can be adapted to “round” distributions
balls, spheres, mixtures of Gaussians,
with effort; [work in progress]

Outline

✓ What do we mean by "Privacy"?

- ◆ Example Sanitizations (non-interactive)

- Recursive Histogram - privacy

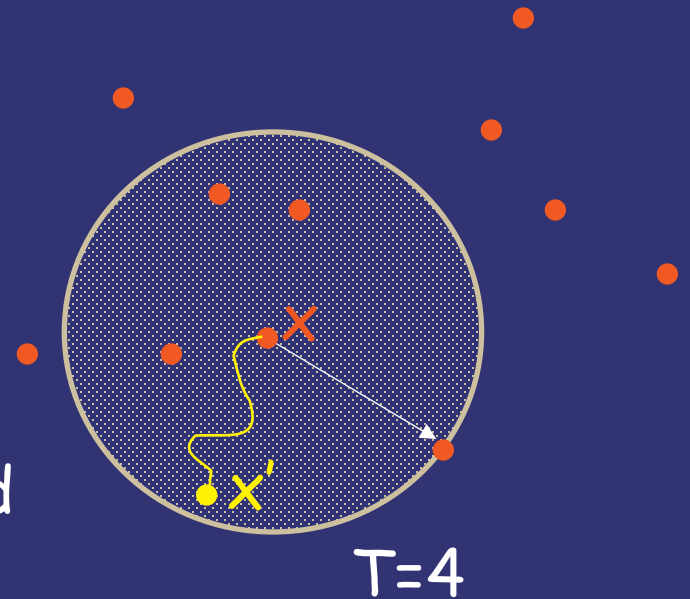
- Density-based Perturbation - utility

- Hybrid: Cross-training

- ◆ Conclusions and Future Work

Round Sanitizations

- ◆ The privacy of x is linked to its T -radius
⇒ Randomly perturb it in proportion to its T -radius
- ◆ $x' = \text{San}(x) \in_{\mathcal{R}} B(x, T\text{-rad}(x))$
 - alternatively, $N(x, T\text{-rad}(x))$, d -dim Gaussian
- ◆ Intuition:
 - Blend x in with its crowd
 - Adding random noise with mean zero to x ,
⇒ means, correlations should be preserved.



Round Perturbations Provide Utility

| Distributional/ Worst-case | Objective | Assumptions | Result |
|-------------------------------|---|------------------------|---|
| Worst-case | Find K clusters minimizing largest diameter | — | Diameter increases by a factor of 3 |
| Distributional | Find k maximum likelihood clusters | Mixture of k Gaussians | Spectral clustering is correct w.h.p. when centers are well separated |

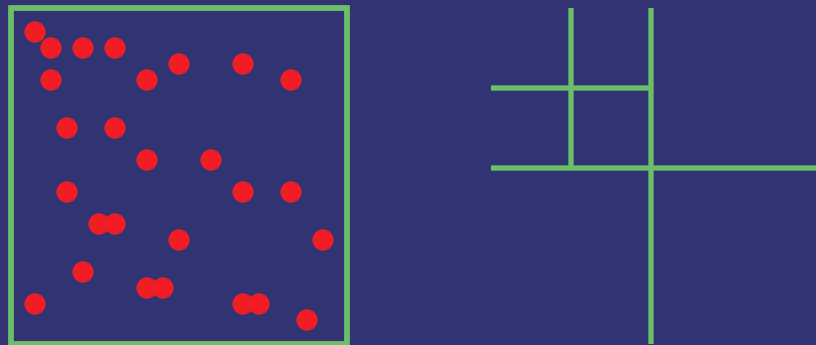


Privacy for n Sanitized Points?

- ◆ Given $n-1$ points in the clear, the probability of isolating the n th is $\exp(-\Omega(d))$
- ◆ Intuition for extension to n points is wrong!
 - Privacy of x_n given x_n' and $n-1$ points in the clear **does not** imply privacy of x_n given all n sanitized points!
 - Sanitization of other points reveals information about x_n
 - Worry is for safety of the reference point (the neighbor defining the T -radius), not the principal

Combining the Two Sanitizations

- ◆ Partition RDB into two sets A and B
- ◆ Cross-training
 - Compute histogram sanitization for B
 - $v \in A$: ρ_v = side length of C containing v
 - Output $GSan(v, \rho_v)$



Cross-Training Privacy

- ◆ Privacy for B: only histogram information about B is used
- ◆ Privacy for A: harder version of proof for histograms
 - so far, proof works only for $|A| = 2^{o(d)}$
- ◆ Immediate Next Goals:
 - Extend privacy proofs to more distributions
 - Not all utility results have carried over
 - Spectral techniques work; not all clustering does

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Future Research (Abstract Model)

- ◆ This talk: **Abstract Model**
 - Many interesting questions remain
 - Strengthen existing results?
- ◆ Work in Progress
 - [DwNa +]
 - Impossibility of all-purpose sanitizers
 - Interesting utilities that have no privacy-preserving sanitization (cf. why secure protocols don't suffice)
 - [DuSm +]
 - Low-dimensional data: combining our perspective with techniques from statistics ("density estimation")
 - [NiSm +]
 - Extending approach to categorical data (no distance?)

What About the Real World?

- ◆ Lessons from the abstract model
 - We can prove meaningful statements
 - **High dimensionality** is our friend
 - Treat data as whole (not component-wise)
 - E.g.: we can bound **re-identification risk**
- ◆ Moving towards real data
 - Problem: Why Euclidean distance?
 - Rescale coordinates, use other metrics...
 - Addressed by follow-up work
 - Easy...
 - Problem: Auxiliary information
 - What happens when adversary knows other databases?
 - Hard (provably impossible in general)

What About the Real World?

- ◆ Hard to provide good sanitization in the presence of arbitrary auxiliary info
 - Provably impossible in general
 - Suggests we need to control aux
- ◆ How to quantify what adversary knows?
 - "Smoothness"?
- ◆ How should we redesign the world?
 - Leave data in hands of users
 - Dwork: "Our Data, Ourselves"
 - Aggarwal et al: "Privacy for the Paranoids"

Conclusions

- ◆ Goals:
 - Cryptographer's approach to database privacy
 - Proposed formalism for abstract problem
 - Concrete sanitizations, results
 - Statistical / algorithmic techniques
- ◆ Many challenges remain
 - Bring approach closer to real world
- ◆ Merits attention of wider community